

The logo features the lowercase letters 'eta' in a light blue, rounded font. The letter 't' is a darker blue and overlaps the 'e' and 'a'. Below 'eta' is the word 'ASSESSMENT' in a bold, dark blue, uppercase sans-serif font.

eta ASSESSMENT

ETC Awards Limited

EPA Online Exam Invigilation
Policy

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Introduction

Integral to ETA's commitment to the integrity of its exams, this document details the requirements to maintain the security and quality assurance of all ETA examinations, whilst also safeguarding the interest of Learners by ensuring examinations are fair and equitable.

This policy covers all externally set examinations for qualifications and End Point Assessment. This includes both paper based and online examinations.

The intended audience for this policy is:

- ETA staff
- All staff in ETA Centres
- Learners
- Invigilators
- Qualification Regulators

Security

All examinations are set by ETA and must not be amended. It is expected that most exams will be delivered online through our secure platform, however in exceptional circumstances paper based exams may be used. Please contact ETA for further information regarding paper based exams.

Online exams

For all online exams delivered in centres:

- Access to the test platforms must be controlled by the Centre Contact/Quality Assurance Contact and electronic passwords and user access only provided to authorised staff.
- Each work station must be isolated by a minimum space of 1.50 metres measured from the nearest outer edge of one screen to the next, unless the monitors are positioned back to back, separated by dividers or protected by privacy screens.
- Under certain circumstances 1.50 metres may prove to be an insufficient distance to prevent candidates seeing, intentionally or otherwise, the work of others. The principal objective is to ensure that no candidate's work can be overseen by others.
- Only one candidate per workstation is permitted.
- Venues used for examinations must be appropriate. There must be a room available where candidates will not be disturbed.
- Where required, candidate test password information is stored securely and only given to candidates at the time of the examination.
- Candidates are prevented from using computers/laptops in examinations which have unauthorised external communication with other users of computers/laptops.
- The arrangement of workstations and the position of the invigilator's desk must facilitate the detection of any unauthorised activity by candidates, for example communication with others or use of unauthorised reference material.
- Sufficient workstations must be available, including at least one replacement computer.
- Candidates should not have use of any other electronic equipment besides the ones that are required for the examination.

- Unless otherwise stated by ETA's subject specific instructions, the invigilator must remind candidates that they must not have access to the Internet, e-mail, data stored on the hard drive or portable storage media (e.g. CDs, memory sticks or pre-prepared templates).
- It is the Centre's responsibility to ensure candidates are familiar with the instructions, procedures and regulations for the on-screen test, particularly on how to navigate and respond on-screen.
- Check that all candidates have logged on successfully, or have been logged on by the centre.
- Ensure that candidates know how to request technical assistance if required during the examination.
- Ensure that technical support is available throughout the on-screen test in relation to malfunctioning of equipment, software or the on-screen test itself.
- At the end of the examination, the candidate must be logged out of the system.

Invigilation Requirements

All examinations must be administered securely by Centres, using appropriately trained Invigilators. Where required by the assessment plan ETA will also invigilate the exam remotely.

The centre will need to ensure Invigilators are fully aware of their roles and responsibilities in assuring the validity of the qualification. The Centre must also manage any potential conflict of interest. The trainer/tutor cannot be the invigilator for any examinations, unless stated in the relevant qualification specification.

ETA provide training for Invigilators – Centres should familiarise themselves with the content of this and may use the suggested scripts provided.

The examination invigilator is the person in the examination room with responsibility for conducting a particular examination session in the presence of candidates. Invigilators have a key role in upholding the integrity of the examination process.

The role of the invigilator is to ensure that examinations are conducted in accordance with regulatory requirements, in order to:

- Ensure that all candidates have an equal opportunity to demonstrate their abilities.

- Ensure the security of the examination papers and completed response sheets, before, during and after the examination – this includes the security of any online test platform being used.
- Prevent possible candidate malpractice.
- Prevent possible administrative failures.
- Ensure that ETA identify requirements are adhered to.

The invigilator must:

- Be appropriately trained in their duties and a responsible adult.
- Give all their attention to conducting the examination properly.
- Be able to observe each candidate in the examination room at all times.
- Be fully conversant with ETA and regulatory requirements for confirming candidate identity.
- Inform the head of the centre if they are suspicious about the security of examination papers, completed response sheets or any other issue that threatens the integrity of the examination process. (In such cases, the head of the centre must inform ETA immediately, and send a full written report within five working days of the suspicion arising).

The invigilator must not:

- Carry out any other task (for example, reading a book, use a computer, mobile phone etc) in the examination room.

Who can invigilate?

ETA Centres must ensure that invigilation is carried out by a person who has not prepared the candidates for the examination. Unless otherwise stated in the relevant qualification specification, this means that the trainer cannot act as the exam invigilator, and should not be present in the examination room. Invigilators must be responsible adults, appropriately trained in their duties.

It is also inappropriate for invigilation to be carried out by a person related to a candidate sitting the examination.

At least one invigilator must be present for groups of up to 30 candidates in examinations. When only one invigilator is present, they must be able to summon help easily, without leaving the examination room, and without disturbing candidates.

For some End Point Assessments ETA staff must invigilate. In all cases invigilation must be agreed with ETA in advance.

Information to be displayed

The invigilator must ensure the following are prominently displayed:

- Examination warning notice for candidates.
- Emergency/evacuation procedures.
- Examination in Progress' notices outside of the examination room.
- A board/flipchart/whiteboard should be visible to all candidates showing the centre number, subject / Unit title and paper number; and the actual starting and finishing times of each examination.
- Ensure a reliable clock is visible to each candidate in the examination room. The clock must be big enough for all candidates to read clearly.

The invigilator must ensure that any display material (such as maps, diagrams, wall charts and projected images etc.) which might be helpful to candidates is not visible in the examination room.

Instruction to Candidates – start of the examination

The invigilator must:

- Advise candidates of the emergency/evacuation procedures.
- Ensure candidates are aware of the appeals and complaints procedure and have a copy of the ETA policy
- Inform candidates that they must follow the regulations of the examination.

- Instruct the candidate they must not have access to items other than those stated in the instructions on the question paper, the stationery list or the specification for that subject in the examination room.
- Inform that mobile phones, electronic communication or storage devices are not permitted.
- Check that candidates have the correct examination / paper.
- Specify the length, start and finish time of the examination, and the earliest time that candidates can leave the examination room.
- Remind candidates that they cannot communicate in any way with, ask for help from or give help to another candidate while they are in the examination room.
- Announce clearly to candidates when they may begin.
- Be present in the examination room at all times.
- Not leave examination / papers unattended at any time.

This means that:

- Any course material should be removed from desks and placed at the front of the room, unless allowed e.g. Improvement Standards allow training material and text books).
- Candidates should not ask for, and must not be given, any explanation or interpretation of the questions and answers.
- If candidates leave the examination room, unaccompanied by a member of centre staff, they will not be able to return during the examination.

During the examination

The invigilator **must not**:

- Make any comment where a candidate believes that there is an error or omission on the exam. However, in this situation, the invigilator must refer the matter to the Head of the Centre, who should send a report to ETA.
- Give any information to candidates about possible mistakes in the question paper, unless there is an erratum notice, or permission has been given by ETA.
- Comment on the content of the question paper.
- Read a word or words printed on the question paper to a candidate, other than the instructions on the front cover.
- Offer any advice or comment on the work of a candidate.

Invigilators must supervise candidates throughout the whole time that an examination is in progress. This means that:

- Invigilators must give complete attention to this duty at all times.
- Invigilators must be vigilant and remain aware of emerging situations, looking out for cheating and malpractice or candidates who may be feeling unwell. Any irregularities must be recorded.
- Invigilators must not carry out any other tasks in the examination room.
- Invigilators are required to move around the examination room quietly and at frequent intervals.
- Invigilators should give regular time checks.

Summoning help during an examination:

When one invigilator is present in an examination, they must be able to summon help, in case of an emergency, without leaving the room or disturbing candidates. A mobile phone may be used but must be switched to silent alert, so as not to disturb candidates.

Late Candidates

A candidate who arrives more than ten (10) minutes after the examination has started must not be allowed to enter the examination room. Arrangements will need to be made by the Centre to order examination papers for an alternative date. They will not be permitted to sit the same examination paper as other candidates.

Leaving the Examination

Candidates are not permitted to leave the examination room until at least twenty minutes examination time has elapsed (other than in an emergency/medical situation) and may not leave in the last 10 minutes of the examination.

Candidates who are allowed to leave the examination room temporarily must be accompanied by a member of centre staff. Where candidates have completed their examination, and are permitted to leave before the finish time, they should be instructed to do so quietly, without causing undue distraction to others.

Where relevant, the invigilator must ensure that all relevant sections of the examination response sheet (including the box indicating the number of changes made) are fully completed and signed by both the invigilator and candidate before candidates leave the room. Those candidates must not be allowed back into the room.

Ending the Examination

When ending the examination, invigilators should give sufficient notice to candidates. This is usually achieved by giving a fifteen minute and five-minute warning prior to the published finish time of the examination.

At the end of the examination the invigilator should:

- Tell the candidates to stop working and remind them that they are still under examination conditions.
- Provide instruction on the closing of the examination.

At no point should any indication be given to the candidates as to whether it is believed that they have passed or failed the examination. This information can ONLY be confirmed by ETA.

The marking of examination papers by the approved trainer/examination invigilator to pre-empt awards is seen as malpractice and could result in the withdrawal of Centre Approval / Recognition.